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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/586,564	06/02/2000	Alberto Profumo	3286-0103P	7632

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EXAMINER

FERRIS, DERRICK W

ART UNIT	PAPER NUMBER
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2663

DATE MAILED: 12/14/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/586,564

Applicant(s)

PROFUMO ET AL.

Examiner

Derrick W. Ferris

Art Unit

2663

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 8 November 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 18-25 and 27-36 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 18-25 and 27-36 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 02 June 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 11/8/2004 has been entered.

Response to Amendment

2. **Claims 18-25 and 27-36** as amended are still in consideration for this application.

3. Examiner **withdraws** the obviousness rejection to *Ghaibeh et al.* ("*Ghaibeh*") in view of *Tajima et al.* ("*Tajima*"). The examiner would like to thank applicant for their earnest attempt in amending the claims. However, the examiner notes that applicant's further limiting step of assigning minimum guaranteed bandwidth with respect to a counter is clearly taught by *Goderis et al.* at column 5, lines 32-55. In particular, although *Ghaibeh* is silent with respect to a counter, *Ghaibeh* does disclose monitoring at the headend or master unit, see e.g., column 9, lines 58-67. In addition, *Ghaibeh* also teaches piggybacking the requests with data, see e.g., figure 6. Thus the rejection is replaced with a new rejection that includes the *Goderis et al.* reference.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. **Claims 18-25, 27, 30-31, and 35-36** are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,978,374 to *Ghaibeh et al.* (“*Ghaibeh*”) in view of “Asymmetric ATM-PON interface compliant to ITU-T/FSAN Standard for global optical access system” to *Tajima et al.* (“*Tajima*”) and U.S. Patent No. 6,813,255 B1 to *Goderis et al.* (“*Goderis*”).

As to **claim 18**, *Ghaibeh* discloses a point-to-multipoint network where figure 1 shows at least one head-end 22 (i.e., master station) and a plurality of network units (i.e., peripheral stations). In particular, *Ghaibeh* discloses a system and method for assigning/allocating bandwidth on the transmission channel from the network units 26 to the head-end 22 where bandwidth is assigned using “permits” (i.e., grants) in the downstream using a one byte MAC header (i.e., MAC protocol) and requested using “requests” in the upstream [see figure 6]. In addition, *Ghaibeh* teaches a reasonable but broad interpretation of both static and dynamic allocation techniques including CBR, VBR, and ABR (for a minimum guaranteed bandwidth). Thus both a “guaranteed dynamic bandwidth” and “available bandwidth technique” are taught by the reference [e.g., column 2, lines 50-67; column 5, lines 55-67]. *Ghaibeh* also teaches piggybacking the requests with data, see e.g., figure 6.

What may not be clear from *Ghaibeh* is the further limitation “preallocating a certain portion of total bandwidth in a static modality to at least a portion of the plurality of Peripheral Stations, said preallocation representing an assignment of fixed capacity to said at least a portion, on the basis of information about active connections without considering status of queues in the plurality of Peripheral Stations”. Examiner notes that

Art Unit: 2663

the limitation is implicitly taught since the reference supports CBR. In particular, see e.g., column 5, lines 55-67 and column 09, line 57 – column 10, line 11 of *Ghaibeh*. However, assuming, arguendo, that CBR is not preallocated, then examiner notes the following obviousness rejection below as well.

Tajima also teaches an ATM point-to-multipoint system using a MAC based protocol (e.g., see Abstract). *Tajima* further teaches the above-cited limitation at the bottom left-hand column on page 28.

Examiner's proposed modification to the *Ghaibeh* reference would be to further illustrate that CBR traffic is pre-assigned (i.e., preallocated). Thus examiner notes that it would have been obvious to one skilled in the art prior to applicant's invention to perform the further limitation of preallocating a certain portion of total bandwidth in a static modality to at least a portion of the plurality of Peripheral Stations, said preallocation representing an assignment of fixed capacity to said at least a portion, on the basis of information about active connections without considering status of queues in the plurality of Peripheral Stations. In particular, one skilled in the art would have been motivated to perform said limitation to decrease the cell delay of legacy traffic. *Tajima* further teaches the above-mentioned motivation at the bottom left-hand column on page 28. Finally, examiner also notes a reasonable expectation level of success since the dynamic bandwidth algorithm as taught by both *Tajima* and *Ghaibeh* would be able to further handle the remaining bandwidth allocation on an add needed basis.

In addition, *Tajima* and *Ghaibeh* may also be silent or deficient with respect to the further limitation of how minimum bandwidth is assigned with respect to portion of

Art Unit: 2663

peripheral stations sending a request indicating non-empty queues which generates a pending request, and assigning at a rate requested by at least one peripheral station that is limited by a maximum amount and being determined between the master station and the at least one peripheral station during an ATM connection setup phase, wherein the requested rate corresponds to a starting value of a counter decremented at each upstream slot time at the Master Station with the pending request being served upon expiration of the counter.

Goderis teaches the above limitation e.g., at column 5, lines 33-55. In particular, the controller MAC (i.e., master unit) performs the pseudo constant bit rate requests where the rate requested is the pseudo CBR rate. Specifically, the new connections setup parameters specify a maximum amount.

The examiner proposes to modify *Tajima* and *Ghaibeh* to include the above further limitation by clarifying how rate control is performed as is known in the art. Thus examiner notes the above limitation would have been obvious to one skilled in the art prior to applicant's invention. Examiner's motivation for combining the references would be to further clarify how rate control is performed. In particular, one skilled in the art would be motivated to perform the above scheme in order to take in consideration the total load of the network for performing optimization. Thus, *Goderis* teaches the above motivation e.g., at column 2, lines 12-20 and column 5, lines 33-55. Examiner also notes a reasonable expectation of success since *Goderis* also teaches assigning upstream time slots to a network terminal (i.e. peripheral station) in a point to multipoint communication, see e.g., the abstract.

As to **claims 19-21**, see column 9 lines 57-67 through column 10, lines 1-46.

As to **claim 22**, see column 10, lines 21-35.

As to **claim 23-25 and 27**, see column 6, lines 60-67 through column 7, lines 1-13 and column 9 lines 57-67 through column 11, lines 1-55.

As to **claim 30**, see the rejection for claim 1.

As to **claim 31**, see the rejection for claim 2.

As to **claims 35-36**, see similar rejection for claim 1.

6. **Claims 28-29 and 32-34** are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,978,374 to *Ghaibeh et al.* ("*Ghaibeh*") in view of "Asymmetric ATM-PON interface compliant to ITU-T/FSAN Standard for global optical access system" to *Tajima et al.* ("*Tajima*") and U.S. Patent No. 6,813,255 B1 to *Goderis et al.* ("*Goderis*") and in further view of "Data Link Control Protocols for Wireless ATM Access Channels" to *Narasimhan et al.* ("*Narasimhan*").

As to **claims 28-29**, *Ghaibeh* is silent or deficient to retransmission of cells that contain errors (i.e., a data link layer). Examiner notes that retransmission of cells through acknowledgment messages are well known in the art prior to applicant's invention. As support, *Narasimhan* discloses transmitting an acknowledgment and corresponding packets thus providing a motivation for a retransmission mechanism [page 755].

As both reference discloses telecommunications in general, and more particularly, ATM packets based on a dynamic TDMA framework, examiner notes a motivation to combine the subject matter as a whole for both references. In other words, one would be

Art Unit: 2663

motivated to modify the teachings of *Ghaibeh* to provide similar error correction since both inventions add a MAC layer to ATM.

As to **claim 32-34**, see column 6, lines 60-67 through column 7, lines 1-13 and column 9 lines 57-67 through column 11, lines 1-55 of *Ghaibeh*.

Conclusion


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Derrick W. Ferris whose telephone number is (571) 272-3123. The examiner can normally be reached on M-F 9 A.M. - 4:30 P.M. E.S.T.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chau Nguyen can be reached on (571) 272-3126. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Derrick W. Ferris
Examiner
Art Unit 2663


DWF


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SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2800 12/13/09